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Attorney Docket No. 5/2875

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 24 2001

TECHNOLOGY CENTER 2000

In Re Application of: Jung et al.

Examiner: Evans, F.

Serial No.: 09/872,039

Group Art Unit: 2877

Filed: June 1, 2001

For: Apparatus and Method for
Measuring Optical Characteristics of
an Object

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: 09/471,300

Serial No.: 09/198,591

Filing Date: 12/23/99

Filing Date: 11/12/98

U.S. Patent No.: 6,249,348

Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following documents(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."
3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
- (a) ☒ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251 for consideration of this Statement.
- (c) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ☐ The required certification is given below, or
- (2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251.
- (d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- (1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
- (2) ☐ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-0251.
4. Certification (if applicable)
- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent

office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

- (b) — The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0251.

Respectfully submitted,



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Registration No. 32,788
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August 20, 2001
10950 N. Blaney Ave., Suite B
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CERTIFICATION UNDER 37 C.F.R. 1.10

(Express Mail label number is mandatory.
(Express Mail certification is optional.)

I hereby certify that this Transmittal and the documents referred to as attached therein are being deposited with the United States Postal Service on this date August 20, 2001, postage prepaid, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.



Karen A. Rogers

Form PTO-1449
(REV. 7-92)U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket Number

Serial No.

JL10B

09/872,039

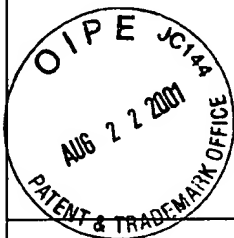
INFORMATION DISCLOSURE STATEMENT**BY APPLICANT**

(Use several sheets if necessary)

Applicant(s): Jung, et al.

Filing Date: June 1, 2001

Group Art Unit: 2877

**U.S. PATENT DOCUMENTS**

| *EXAMINER INITIAL | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----------------|---|---|---|---|---|---|--------|-----------------|-------|----------|-------------------------------|
| JK | 5 | 9 | 6 | 1 | 3 | 2 | 7 | 10/99 | Lohn | 433 | 80 | 3/5/98 |
| JK | 5 | 9 | 8 | 9 | 0 | 2 | 2 | 11/99 | Yamamoto et al. | 433 | 26 | 10/10/97 |
| JK | 6 | 0 | 0 | 7 | 3 | 3 | 2 | 12/99 | O'Brien | 433 | 26 | 9/26/96 |
| JK | 6 | 0 | 0 | 8 | 9 | 0 | 5 | 12/99 | Breton et al. | 356 | 402 | 12/22/98 |
| JK | 6 | 0 | 3 | 0 | 2 | 0 | 9 | 2/2000 | Panzer et al. | 433 | 26 | 2/29/00 |
| JK | 6 | 0 | 3 | 1 | 9 | 2 | 8 | 2/2000 | Scott | 382 | 108 | 2/18/97 |
| JK | 6 | 0 | 3 | 8 | 0 | 2 | 4 | 3/2000 | Berner | 356 | 326 | 1/8/99 |
| JK | 6 | 0 | 5 | 7 | 9 | 2 | 5 | 5/2000 | Anthon | 356 | 419 | 8/28/98 |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|--|-----------------|--|--|--|--|--|--|------|---------|-------|----------|-------------|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

*EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.